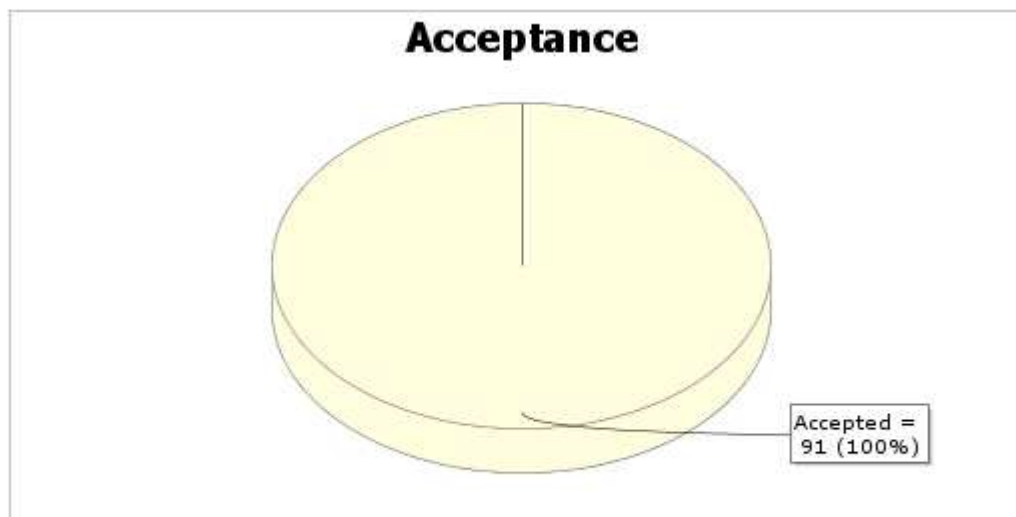


Percentage of Management Actions Agreed 2013/14 Quarter 1



Audit Recommendations 2013/14 Quarter 1

Audit Recommendation	Audit Recommendation Priority	Audit Recommendation Acceptance
Business Continuity 2012/13		
1213 BC 1.1 Risk Assessments	High Priority	Accepted
1213 BC 2.1 Business Impact Assessments	Medium Priority	Accepted
1213 BC 3.1 Business Continuity Plans	Medium Priority	Accepted
1213 BC 3.2 IT Disaster Recovery	Medium Priority	Accepted
1213 BC 3.3 Marmion House Business Continuity Strategy	High Priority	Accepted
1213 BC 4.2 Business Continuity Plans	Medium Priority	Accepted
1213 BC 4.3 Minutes and Agendas	Medium Priority	Accepted
1213 BC 5.1 Training	Medium Priority	Accepted
1213 BC 5.2 Training	Medium Priority	Accepted
1213 BC 6.1 Business Continuity Testing	Medium Priority	Accepted
1213 BC 6.2 Lessons Learned	Medium Priority	Accepted
Total Recommendations	2 High Priority, 9 Medium Priority	
Capital Strategy & Programme Management 2012/13		
1213 CapPro 7.1 Capital Strategy	Medium Priority	Accepted
1213 CapPro 7.2 Project Implementation Reviews	Medium Priority	Accepted
Total Recommendations	2 Medium Priority	

Audit Recommendation	Audit Recommendation Priority	Audit Recommendation Acceptance
Housing Repairs 2012/13		
1213 HoRe/M 1.01 a) Release Job Tickets & Variations	Medium Priority	Accepted
1213 HoRe/M 1.01 b) Release Job Tickets and Variations	Medium Priority	Accepted
1213 HoRe/M 2.01 Void Lettable Standard	Medium Priority	Accepted
1213 HoRe/M 3.02 Documented Procedures	Medium Priority	Accepted
1213 HoRe/M 3.03 a) Morgan Lambert Testing	High Priority	Accepted
1213 HoRe/M 3.03 b) Morgan Lambert Testing	Medium Priority	Accepted
1213 HoRe/M 4.01 KPI Data	Medium Priority	Accepted
1213 HoRe/M 4.03 Business Continuity Arrangements	High Priority	Accepted
1213 HoRe/M 5.01 Quality Post Inspections (Void)	Medium Priority	Accepted
1213 HoRe/M 5.02 a) Post Inspections	Medium Priority	Accepted
1213 HoRe/M 5.02 b) Post Inspections	Medium Priority	Accepted
1213 HoRe/M 5.03 Void Monitoring Spreadsheet	High Priority	Accepted
1213 HoRe/M 5.04 a) Asbestos SOR Uplift	Medium Priority	Accepted
1213 HoRe/M 5.04 b) SOR	Medium Priority	Accepted
1213 HoRe/M 5.05 Appropriate Checks	Medium Priority	Accepted
1213 HoRe/M 5.06 Variations on Orchard system (Void)	Medium Priority	Accepted
1213 HoRe/M 5.08 VAT	Medium Priority	Accepted
1213 HoRe/M 5.09 Jobs Processed through Orchard in a Timely Manner	Medium Priority	Accepted
1213 HoRe/M 5.10 Summary Reports	Medium Priority	Accepted
1213 HoRe/M 5.11 Regular Progress Meetings	Medium Priority	Accepted
Total Recommendations	3 High Priority, 17 Medium Priority	

Audit Recommendation	Audit Recommendation Priority	Audit Recommendation Acceptance
Housing Rents 2012/13		
1213 HRents 1.1 Suspense Account	Medium Priority	Accepted
Total Recommendations	1 Medium Priority	
Standards & Declarations 2013/14		
1314 St&Dec 1.1 Guidance Notes	High Priority	Accepted
1314 St&Dec 1.2 Completion of Declaration of Interests	High Priority	Accepted
1314 St&Dec 1.3 New member declarations	High Priority	Accepted
1314 St&Dec 1.4a Gifts & Hospitality declarations	Medium Priority	Accepted
1314 St&Dec 1.4b Gifts & Hospitality reminders.	Medium Priority	Accepted
1314 St&Dec 2.1 Arrangements for dealing with standards allegations under the Localism Act 2011	Medium Priority	Accepted
1314 St&Dec 2.2a Retention of Documentation	High Priority	Accepted
1314 St&Dec 2.2b Document location	Medium Priority	Accepted
1314 St&Dec 2.3a Appointment of the independent person	High Priority	Accepted
1314 St&Dec 2.3b Role Description	Medium Priority	Accepted
1314 St&Dec 3.1 Website information	Medium Priority	Accepted
1314 St&Dec 3.2 Declarations of interest	Medium Priority	Accepted
Total Recommendations	5 High Priority, 7 Medium Priority	

Audit Recommendation	Audit Recommendation Priority	Audit Recommendation Acceptance
ISO27001 2012/13		
1213 ISO 1.1 Policy Review	High Priority	Accepted
1213 ISO 3.1 Intellectual Property Rights Compliance Policy	Medium Priority	Accepted
1213 ISO 4.1 Training and awareness	High Priority	Accepted
1213 ISO 5.1 Risk Management	High Priority	Accepted
1213 ISO 6.1 Asset Inventory System	Medium Priority	Accepted
1213 ISO 7.1 Documented Procedures	High Priority	Accepted
Total Recommendations	4 High Priority, 2 Medium Priority	
CCTV 2013/14		
1314 CCTV 1.01 Business Continuity Plan	Medium Priority	Accepted
Total Recommendations	1 Medium Priority	
RIPA 2013/14		
1314 RIPA 1.1 Training	High Priority	Accepted
1314 RIPA 1.2 Officer Training	High Priority	Accepted
1314 RIPA 2.1 HMCTS Contact	Medium Priority	Accepted
1314 RIPA 2.2 Identification of court representatives	High Priority	Accepted
1314 RIPA 3.1 Policy	High Priority	Accepted
1314 RIPA 3.1 Policy approval	High Priority	Accepted
Total Recommendations	5 High Priority, 1 Medium Priority	

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Audit Recommendation	Audit Recommendation Priority	Audit Recommendation Acceptance
Land Charges 2012/13		
1213 LC 1.2a Business Continuity	Medium Priority	Accepted
1213 LC 1.2b Business Continuity	Medium Priority	Accepted
1213 LC 2.1 Land Charges Webpage	Medium Priority	Accepted
1213 LC 4.1b NLIS Hub	Medium Priority	Accepted
1213 LC 4.2a Land Charges Income	Medium Priority	Accepted
1213 LC 4.2b Land Charges Income	Medium Priority	Accepted
1213 LC 4.3 Payment Methods	Medium Priority	Accepted
1213 LC 5.1 Publishing Fee Information	High Priority	Accepted
1213 LC 5.2 Additional Land Charges Fees	Medium Priority	Accepted
1213 LC 5.3a Land Charges Fees	High Priority	Accepted
1213 LC 5.3b Land Charges Fees	High Priority	Accepted
1213 LC 6.1 Land Charges Procedures	Medium Priority	Accepted
1213 LC 6.3a Land Charges Register Information	Medium Priority	Accepted
1213 LC 6.3b Request Form and Guidance Notes	Medium Priority	Accepted
1213 LC 7.1 Attachments	Medium Priority	Accepted
1213 LC 8.1a Users	Medium Priority	Accepted
1213 LC 8.1b Users	Medium Priority	Accepted
1213 LC 8.2 System Parameter Checks	Medium Priority	Accepted
Total Recommendations	3 High Priority, 15 Medium Priority	

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Audit Recommendation	Audit Recommendation Priority	Audit Recommendation Acceptance
Interfaces 2012/13		
1213Interface1.1 Haven	High Priority	Accepted
1213Interface1.3 Orchard	High Priority	Accepted
1213Interface1.4 Orchard	High Priority	Accepted
1213Interface2.1 Haven	High Priority	Accepted
1213Interface2.2 Orchard	High Priority	Accepted
1213Interface2.4 Management of errors	Medium Priority	Accepted
1213Interface3.2 Storage of files	Medium Priority	Accepted
1213Interface3.3 Retention of files	Medium Priority	Accepted
1213Interface3.4 Programmes, scripts & macros	High Priority	Accepted
1213Interface3.5 Programmes, scripts & macros	Medium Priority	Accepted
1213Interface3.6 Programmes, scripts & macros	Medium Priority	Accepted
1213Interface3.7 Programme, scripts & macros	Medium Priority	Accepted
1213Interface4.1 User documentation	High Priority	Accepted
1213Interface4.2 ICT/ Technical Documentation	High Priority	Accepted
Total Recommendations	8 High Priority, 6 Medium Priority	

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